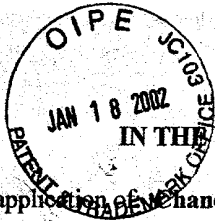


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ORIGINALLY FILED



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Chandra et al.**

Serial No.: **09/852,934**

Filed: **May 10, 2001**

For: **Apparatus and Methods for  
Providing Secure Electronic Brokers**

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§  
§  
§

Group Art Unit: **2164**

Examiner: **Unknown**

Attorney Docket No.: **YOR920000826US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Box 1, Response to the Notice to File Missing Parts of Application and associated papers and fee Assistant Commissioner of Patents, Washington, D.C. 20231 on 10-25-01.

By:

*Dell Whitton*  
Dell Whitton

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

**ENCLOSED HEREWITH:**

- Response to Notice to File Missing Parts of Nonprovisional Application;
- Copy of Notice to File Missing Parts of Nonprovisional Application;
- (1) originally signed Declaration and Power of Attorney;
- Request for Corrected Filing Receipt;
- Copy of originally filed Filing Receipt;
- Letter to the Official Draftsman;
- 5 sheets of formal drawings;
- Petition for Extension of Time Within the Second Month;
- Our check for \$400; and
- Our return postcard.

A fee of \$130.00 is required for a properly signed oath or declaration in compliance with 37 CFR 1.63. Please charge this fee to **Deposit Account 50-0510**. No additional fees are believed to be required. However, in the event that any additional fees are required for the prosecution of this application, please charge the necessary fees to Deposit Account No. 50-0510. A fee of \$400.00 is required for a two-month

extension of time to respond to the Notice of Missing Parts in the above case. A check for \$400.00 is enclosed. No additional extension of time is believed to be necessary. If, however, any additional extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,

  
Duke W. Yee

Registration No. 34,285

**CARSTENS, YEE & CAHOON, LLP**

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(972) 367-2001

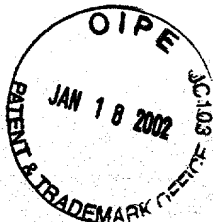
ATTORNEY FOR APPLICANT



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,934	05/10/2001	Tushard D. Chandra	YOR920000826US1

Carstens, Yee and Cahoon, L.L.P.  
P.O. Box 802334  
Dallas, TX 75380

CONFIRMATION NO. 5830

## FORMALITIES LETTER



\*OC000000006270923\*

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/24/2002 HTECKLU1 00000093 500510 09852934

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2007-04-10 14:00:00